

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :	Weishi Li et al.	Art Unit :	Unknown
Serial No. :	10/519,319	Examiner :	Unknown
Filed :	January 18, 2005	Conf. No. :	9115
Title :	WDM LAYER-BASED OCHP DEVICE AND METHOD THEREOF		

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Applicants request consideration of the references listed on the attached PTO-1449 form. A copy of an office action from the Japanese Patent Office in a counterpart application also is enclosed. An English-language translation of the Office Action is also included.

Citation 1 and citation 2' in the office action previously were cited in the pending application in an IDS submitted on February 20, 2007, and therefore these references are not cited here.

Listed reference AH (2000-332655) corresponds to European Patent Application No EP 1 054 524 A2, which was submitted to the U.S. Patent Office in an IDS filed on February 20, 2007.

Listed reference AM (H10-210008) corresponds to listed reference AN (EP 0 877 502 A2).

English-language translations are included for listed references AH, AI, AJ, AK, AL, AM, and AO.

This statement is being filed before the receipt of a first Office Action on the merits. Each of the references listed on the attached form 1449 was cited in the enclosed Japanese Office action, dated September 11, 2007, which is not more than three months prior to the filing date of this statement. Accordingly, no fees are believed to be due. Please apply any charges or credits to Deposit Account No. 06-1050.

**CERTIFICATE OF MAILING BY EFS-WEB FILING**

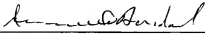
I hereby certify that this paper was filed with the Patent and Trademark Office using the EFS-WEB system on this date: October 29, 2007

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Attorney's Docket No.: 20296-003US1 / OP030119

Respectfully submitted,

Date: 10/30/07

  
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Samuel Borodach  
Reg. No. 38,388

Fish & Richardson P.C.  
Citigroup Center  
52nd Floor  
153 East 53rd Street  
New York, New York 10022-4611  
Telephone: (212) 765-5070  
Facsimile: (212) 258-2291

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